Biomarkers, Immune-Mediated Disorders and Autism

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The Immune and Nervous Systems

Immune System

Body's natural defense mechanism
Detection of wide variety of foreign agents

Nervous System

Transmit signals
between different
regions of the body
Interactions between
complex neural pathways
CNS: brain and spinal
cord
PNS: sensory neurons

Neuroimmunology

•Complex interactions between the two systems during homeostasis, response to injuries, and development.



Autism and the Immune Response

What we know now

- Various immune system abnormalities have been reported in children with autistic disorders by a number of different laboratories.
- Both enhanced autoimmunity and reduced immune function have been shown.
- Development of 'autism' animal models with immune basis

Current evidence for immune dysfunction in autism comes from many avenues







There are two types of immune responses: Innate and Adaptive

The Innate Immune System

- Innate immunity refers to antigen <u>non-specific</u> defense mechanisms that a host uses immediately or within several hours after exposure to almost any antigen.
- This is the immunity one is born with and is the initial response by the body to eliminate microbes and prevent infection.

Adaptive Immunity



- Adaptive (acquired) immunity refers to <u>antigen-specific</u> defense mechanisms that take several days to become protective and are designed to remove a particular antigen.
- The response can be long lasting and result in "memory cells"
- There are two major branches of the adaptive immune responses: humoral immunity (antibodies) and cell-mediated immunity.
- The adaptive immune response involves B cells and T cells.

Invading bacteria

Macrophage

Overview of the State of Cellular Immunity— Cytokine/Chemokine Analysis in Autism

T-cells

Activated T-cell

Evolution of Studies on Immune Cells in Autism

- Over two decades ago Warren et al., (1987) described a decrease in NK cell function in children with autism
- More recently, an increase in expression of NK cell associated genes was noted in ASD (Gregg et al., 2008).
- Lower NK cell activity found in about 45% of a subset of children with ASD (Vojdani et al., 2008).
- An imbalance between inhibitory and activating NK cells has been implicated autism (Enstrom et al., 2009; Schleinitz et al., 2010).

NK Cells



Releases lytic granules that kill some virus-infected cells

- Large granular non-T and non-B cells that kill virally infected cells and some tumor cells.
- Important in innate immunity to viruses and other intracellular pathogens

NK Cells in Autism

Functionally, following stimulation, children with ASD had a decrease in NK cell cytotoxic activity compared to age-matched controls (Enstrom et al., 2009b).



What does this mean?

There are enough cells there
Functionally they cannot do their job efficiently
First line defense for viral infection

Macrophages/Monocytes in Autism

- Significantly higher monocyte count with no difference in the absolute leukocyte counts (Sweeten et al., 2003b).
- TLR-2 activated monocytes had an increase in IL-1b, IL-6 and TNF-a.
- TLR-4 activation gave an <u>increase</u> in IL-1b.
- TLR-9 activation resulted in a <u>decrease</u> in IL-1b, IL-6, GMCSF and TNF-a (Enstrom et al., 2010).
- Children with ASD have a dysfunction in monocyte signaling that may lead to long-term problems in response to infection.



Macrophages/Monocytes in Autism and GI

- Children with gastrointestinal problems in conjunction with ASD had lower production of the pro-inflammatory cytokines, IL-6, IL-1b, IL-12, IL-23, and the counterregulatory cytokine IL-10, when monocytes were stimulated (Jyonouchi et al., 2011).
- This impaired signaling was in response to Toll-like receptor agonists for TLR2/6 and TLR 7/8, which are intracellular receptors for ssRNA.

T cell in Autism

- Several studies have indicated abnormalities in T cell immunity in children with autism compared to healthy controls.
- First noted in 1977, lymphocytes cultured from children with autism and challenged with the T cell mitogen PHA had a depressed proliferation compared to controls (Stubbs and Crawford, 1977).



 A similar study of children with autism ages 7-15, cultured PHA-challenged T cells showed a decrease in T helper cells, and a lower suppressor (now called regulatory cells) cell ratio as determined by flow cytometry (Denney et al., 1996).

T cells in Autism - more recently

- PBMCs challenged with PHA or tetanus, showed a significant decrease in the expression of CD3, CD4, and CD8 on T cells (Ashwood et al., 2011).
- CD4+ T lymphocytes from children with autism showed a decreased expression of CD95, the Fas 'cell death' receptor.
 - Children with autism might have poor regulation of the cellular immune response (Stranges et al., 2007).
- Lower frequency of Treg cells children with autism compared to controls (Mostafa et al., 2010).
 - a correlation with allergy and family history of autoimmunity.

T cells in Autism - more recently

- When peripheral blood T cells were stimulated, GM-CSF, TNFα, and IL-13 were significantly <u>increased</u> whereas IL-12p40 was <u>decreased</u> in ASD relative to TD controls.
- Increased pro-inflammatory or TH1 cytokines were associated with greater impairments in core features of ASD as well as aberrant behaviors.
- In contrast, production of GM-CSF and TH2 cytokines were associated with **better cognitive and adaptive function**.
- Ashwood P, Krakowiak P, Hertz-Picciotto I, Hansen R, Pessah IN, Van de Water, J. Brain Behav Immun. 2010 Sep 9. [Epub ahead of print]

Cytokines- Master regulators of the immune system

- The immune response is controlled by mediators known as cytokines that are responsible for cell-cell communication.
- Cells produce cytokines in response to a stimulus. They direct the function of the cell that produces them and the cells nearby if they have appropriate cytokine receptors.
- They are produced by many cell types including including T cells, B cells and macrophages.



Immune-Neuro Interface





Regulatory T cells/Cytokines

- Significantly lower frequency of CD4(+)CD25(high) regulatory T cells in the blood of 30 AU and 30 age- and sex-matched TD children (Mostafa, 2010). Were not examined for Foxp3, a more definitive marker.
- Children with autism (n=75) had significantly lower plasma TGFβ1 levels compared with typically developing general population controls (n=36) (p=0.0017) (Ashwood, 2008).
 - A significant positive correlation of measures of social interaction and TGFβ1 levels in children with regression (n=42) based on ADOS scores (p=0.0048).
- Decreased serum TGF- β in small groups of ASD subjects compared to matched healthy controls (Okada, 2007).





Cytokines-plasma of children

Study Description	Reference		
Elevated levels of IL-1b, IL-6, IL-8 and IL-12p40. Associated with regression	(Ashwood, et al., 2011b)		
Increase in chemokine MCP-1 , Rantes and Eotaxin levels in ASD subjects compared to age-matched typically developing controls. An association between increases chemokines levels with aberrant behaviors.	(Ashwood et al., 2011c)		
In male ASD subjects, an increase in cytokines IL-1beta, IL-1RA, IL-5, IL-8, IL-12(p70), IL-13, IL-17 and GRO- alpha.	(Suzuki et al., 2011)		
Increase in leptin levels in ASD subjects compared to age-matched controls.	(Ashwood et al., 2008b)		
Increase in macrophage migration inhibitory factor (MIF) in ASD subjects compared to age-matched controls.	(Grigorenko et al., 2008)		
Decrease in TGF-beta in subjects with ASD compared to controls.	(Ashwood et al., 2008a; Okada et al., 2007)		
Increase in IL-12 and IFN-gamma in ASD subjects compared to age-matched controls.	(Singh, 1996)		

Cytokine/Chemokines- activated cells

Study Description	Reference
In isolated PBMCs stimulated with PHA, increase in GM-CSF, TNF- alpha and IL-13. A decrease in IL-12(p40) in ASD subjects vs. controls.	(Ashwood et al. <i>,</i> 2011d)
Stimulation of TLR on monocytes - ASD vs. to age-matched controls. Increase in IL-1beta, IL-6, TNF-alpha, with stimulation of TLR2. Increase in IL-1beta, with stimulation of TLR4. Decrease in IL-1beta, IL-6, GMCSF, TNF-alpha with TLR9.	(Enstrom et al., 2010)
Increase in IFN-gamma in NK cells from subjects with ASD.	(Enstrom et al., 2009b)
Increase production of cytokines from Th1 and Th2 cytokines in ASD subjects vs age-matched controls.	(Molloy et al., 2006)
Increase in IL-12 and TNF-alpha in ASD subject with GI symptoms.	(Jyonouchi et al. <i>,</i> 2005)
Increase in IFN-gamma and TNF-alpha in isolated PBMCs from ASD subjects compared to age-matched controls stimulated with LPS.	(Jyonouchi et al., 2002)
Unstimulated whole blood from ASD vs. age-matched controls – increase in IFN-gamma and IL-1RA with -higher IL-6 and TNF-alpha.	(Croonenberghs et al., 2002)
Unstimulated PBMC- ASD subjects: higher levels of TNF-alpha , IL- 1beta , and IL-6 vs. controls. PBMCs stimulated with LPS, PHA and tetanus produced increase levels of IL-12 and IL-1beta.	(Jyonouchi et al., 2002)

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Short Communication

Elevated plasma cytokines in autism spectrum disorders provide evidence of immune dysfunction and are associated with impaired behavioral outcome

Paul Ashwood ^{a,f,*}, Paula Krakowiak ^b, Irva Hertz-Picciotto ^{b,f}, Robin Hansen ^{c,f}, Isaac Pessah ^{d,f}, Judy Van de Water ^{e,f}

Plasma levels of IL-6, IL-8,IL-1 β and IL-12p40 are significantly higher in the ASD (n=97) group when compared to TD (n=87) and DD (n=39) controls.

Onset status – relationship with cytokines

Cytokine (pg/ml)	Typically Developing (n=87)	Early Onset (n=53)	Regression (n=40)
I L-1 β	62.8	61	144.3*‡
IL-2	8	17.7	19.3
IL-4	36.7	28.8	39.4
IL-5	9.8	9.2	11.5
IL-6	11.8	15.1	32.6*
IL-8	3.9	6.8*	14.5*
IL-10	16.4	7.5	15.6
IL-12 p40	171.7	192.3*	198.6*
IL-13	20.9	14.1	29.4
GM-CSF	54.2	51.3	101.2*‡
ΙΕΝγ	62.8	51.2	94.1
ΤΝΓα	63.9	56.2	111.1*

Raw data Plasma - all AU, ages 2-4 yrs

Cytokine	AUT Age 4 yrs	Age 3 yrs	AUT	AUT	TYP	TYP
IFN gamma	5.27	7.52	212.8	1.89	78.18	18.2
IL-2	OOR <	OOR <	49.05	8.25	18.9	8.76
IL-4	190	498.49	80.64	8.43	855.6	8.9
IL-6	14.6	19.24	114.33	2.88	327.6	2.31
IL-7	173.4	6.7	946.5	OOR <	926.2	23.62
IL-8	39.4	80.42	77.22	4.43 111.4 11.85		11.85
IL-10	70.2	129.56	32.89	4.79	307.3	2.22
IL-12 (p70)	1.4	*0.99	10.69	6.66	37.4	5.74
IL-13	16.6	22.61	45.67	1.88	128.5	1.15
MCP-1	286.5	275.41	247.2	300.9	313.2	355.8
Eotaxin	84.7	126.7	63.2	120.1	146.3	157.1
GM-CSF	835	1329.72	497.9	363.4	1888.7	480.4
IL-1a	119	153.42	434.7	12.14	716.2	35.68
IL-1B	15.4	25.96	15.13	2.36	132.15	7.2
IL-12 (p40)	401	488.02	268.2	46.95	1777.1	42.78
IL-17	0.95	1.97	194.6	4.19 28.6		16.01
IP-10	86.4	82.1	122.6	113.03 167.1		139.7
MIP-1a	62.1	101.56	519.1	4.21 320.2		67.89
TNFa	3.2	3.09	3.96	14.46 9.2		3.2
IL-1ra	142	289.7	127.2	60.39	898.4	85.66
MIP-1B	59.7	64.73	724.5	33.17	235.1	52.67

Immune Dysregulation

Dysregulation of the immune system can lead to autoimmunity, for which autoantibodies are one hallmark feature Autoantibodies when the immune system gets it wrong



Autoantibodies in Children

- Several investigators have proposed an autoimmune-based etiology for a subset of children with autism.
- The autoantibodies are directed against various brain components including:
 - serotonin receptors, heat shock proteins, glial filament proteins and myelin basic protein, as well as other proteins with significant neurological relevance (Connolly et al., 2006; Connolly et al., 1999; Goines et al., 2011a; Wills et al., 2007; Wills et al., 2009; Wills et al., 2011).

Autoantibodies from children with ASD



Immunohistochemical and Western blot analysis of autoantibody localization in cerebellum of Rhesus monkeys (Wills, 2009).

Western blot - Human cerebellum



- The presence of the ~45 kDa band corresponds to Golgi staining by IHC (p=0.04).
- Children with these antibodies had <u>lower</u> adaptive and cognitive function
- Increased aberrant behaviors when compared to children without these antibodies

Goines, et al. BBI, 2011

Autoantibody Specificity

	Child Autoantibody Targets in Cerebellum			P values				
Band	ASD	AU	AU/ASD	TD	ASD vs.	AU vs.	AU/ASD	AU vs.
	n=70	n=207	n=277	n=189	TD	TD	vs. TD	ASD
45	5 (7.1%)	2(9.7%)	25 (9%)	7 (3.6%)	NS	0.017	0.025	NS
62		17(8.2%	29	16				
	12(16%))	(10%)	(8.2%)	0.043	NS	NS	0.043
45 + 62	0 (0%)	6 (3%)	6 (2%)	0 (0%)	NS	0.03	0.05	0.34

Maternal antibodies to fetal brain proteins



 Demonstrated the presence of anti-fetal brain autoantibodies in maternal circulation (Braunschweig, 2007, Singer, 2008, Braunschweig, 2011).

 These antibodies are highly specific for autism, and have demonstrated pathology in animal models (Martin, 2008, Singer, 2009 and Braunschweig, 2012).

Prenatal Growth of the Human Brain



The human brain consists of approximately 100 billion neurons (which is as many cells as there are stars in the Milky Way).

During the last trimester, neurons form at a rate of around 580,000 per minute.


Autism Risk and Severity Correlates with Increasing MAR Antibody (Ab) Types and Numbers

MAR Antibody Presence in Maternal Blood				
MAR Ab Groupings	Incidence in Autism	Incidence in Normal Pop.	Clinical Utility/ Implications	
Only one Ab	89%	70%	not clinically significant	
Significant Ab doublets	70%	27%	3X AU risk; counsel caution and early intervention	
Specific Ab doublets	4%	0%	mothers w/specific combinations have 99%+ risk; counsel early diagnosis and intervention	
Specific Ab triplets	19%	0.6%*		
All specific MAR combinations	23%	0.6%		

*1 Typically Developing (TD) child with score abnormally high score of 22 on ABC subscale for hyperactivity

Braunschweig et al, Nature Translational Psychiatry, 2013

We Identified 8 MAR Autoantibodies That Bind to Protein Targets* Critical to Normal Brain Development



MAR Patterns Correlate with ASD Behaviors

P-values for Significant Behavior Correlations (P<.05)

Antibody Status	Irritability	Lethargy	Stereotypy	Hyperactivity	Moods
Any LDH (n=63)	n.s.	n.s.	0.024	n.s.	n.s.
Any Cypin (n=24)	n.s.	0.006	n.s.	n.s.	n.s.
LDH + Cypin (n=4)	n.s.	0.041	n.s	n.s.	n.s.
LDH + STIP1 (n=36)	n.s.	n.s.	0.015	n.s.	0.062
LDH + CRMP1 (n=21)	n.s.	n.s.	0.028	0.058	0.047
LDH + STIP1 + CRMP1 (n=12)	n.s.	n.s.	0.007	0.057	0.061
LDH + STIP1 + CRMP1 or LDH + Cypin (n=15)	n.s.	n.s.	0.013	n.s.	n.s.

• Presence of LDH antibodies appear to contribute heavily to stereotypic behavior, a core feature of ASD

• Antibodies to LDH in combination with STIP1 and CRMP1 are highly significantly associated with stereotypic behavior

• Antibodies to Cypin alone is highly significantly associated with lethargic behavior



Short Communication

Maternal autoantibodies are associated with abnormal brain enlargement in a subgroup of children with autism spectrum disorder

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- Studied 181 2-4 YO male children (131 ASD, 50 typically developing (TD) controls) and evaluated total brain volume using structural magnetic resonance imaging (MRI).
- The ASD MAR group exhibited a more extreme 12.1% abnormal brain enlargement relative to TD controls.
- The remaining ASD children had a smaller 4.4% abnormal brain enlargement relative to TD controls.
- Lobar and tissue type analyses revealed that the frontal lobe is selectively enlarged
- MAR autoantibodies may impact brain development leading to abnormal enlargement.



What is the susceptibility factor for the production of these antibodies?

Where genetic susceptibility and immune function converge.....

A genetic variant that disrupts the MET transcription is associated with autism

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The MET receptor tyrosine kinase is a key negative regulator of immune responsiveness, controlling the degree of activation of antigen presenting cells (APCs; e.g., dendritic cells, monocytes, and B cells).

The 'C' allele with polymorphism rs1858830 increases relative risk for ASD approximately 2.25-fold in children.



What is the relationship between MET and anti-fetal brain antibodies?

37/73 kDa bands	Diagnosis Groups	MET Genotype			Allelic Chi-square p-value
		C/C	C/G	G/G	
Positive	(All have ASD) n=19	11 (58%)	7 (37%)	1 (5%)	Reference
Nogotivo	ASD + TD (n=346)	101 (29%)	154 (45%)	91 (26%)	0.003
Negative	ASD Only (n=183)	51 (28%)	79 (43%)	53 (29%)	0.002

- We have found a higher incidence of the MET 'C' allele in the blood of mothers who have antibodies to fetal brain proteins (Heuer et al, 2011).
- This allele confers a functional reduction in the receptor MET production.

The *MET* promoter variant is a possible susceptibility factor

- The functional MET promoter variant alters expression of the MET receptor in immune cells.
 - This may predispose to the development of antibodies to fetal brain proteins in some mothers whose children develop autism.
- Further, this genetic susceptibility may lead to loss of immune regulation during gestation, which may, even in the absence of autoantibody production, have an effect on neurodevelopment.

IMMUNE DYSREGULATION REPORTED IN AUTISM

What is the role of the environment in the immune dysregulation noted in ASD?

Polybrominated Diphenyl Ethers (PBDEs)

- Persistant Organic Pollunts (POPs)
- Flame-retardants
 - Textile, building and manufacture of electronic appliances
- Widely dispersed in global environment
- May interfere with normal immune and or neurological development (Lawler et al., 2004)
- Varies routes of exposure





Dingemans et al., 2011 Environmental Health Perspectives Supplements

Early study: *In vitro* Effects of BDE-47 on Innate Immune Response in Children with Autism Spectrum Disorders (ASD)



- Increased production of inflammatory cytokines in ASD compared to age matched typically developing (TD) controls
- Children with ASD have differential immune sensitivity to some environmental toxicants
- Do children with ASD have a genetic susceptibility to PBDE effects?

Ashwood et al., 2009 Brain Behav Imm

LPS Challenged BDE-49 exposed AU and TD children:



250nM BDE-49 LPS TD



50nM BDE-49 LPS TD





Conclusions

- We see changes in immune function in several branches of the immune system including altered antibody production, altered NK cell function, autoantibodies, and differential cellular responses to various stimuli.
 - Maternal autoantibodies are specific for ASD
- Plasma cytokines can be informative
 - Fairly stable over time with multiple samples
- Very variable from subject to subject both ASD and controls
- See several profiles within autism
 - Elevated inflammatory
 - Reduced profile
 - Normal profile
 - What appears to be a somewhat ASD-specific profile
 - Onset status driven

Immune & CNS systems interactions in disease



The UC Davis Team

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Polychlorinated Biphenyls (PCBs): Environmental Risk Factors for ASD?

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What is the evidence that environmental factors contribute to ASD risk?

- **1.** Rapid increase in ASD prevalence
- 2. Genetic studies
- 3. Clinical heterogeneity of ASD
- 4. Systemic and CNS pathophysiology
 - Oxidative stress
 - Immune dysfunction (including neuroinflammation)
 - Mitochondrial dysfunction

These pathophysiological outcomes known to be exacerbated by environmental factors air pollution, organophosphorus pesticides, heavy metals

PCB Developmental Neurotoxicity

- Human epidemiological data suggest a negative association between developmental exposure to environmental PCBs and cognitive function in infancy or childhood
 - Decreased IQ, impaired learning and memory, attentional deficits, lowered reading comprehension, psychomotor problems
- Comparable cognitive and behavioral deficits observed in primate and rodent models following developmental PCB exposures
 - Developmental neurotoxic effects of PCBs have been observed at relatively low exposure levels corresponding to between 1 and 10x the background levels observed in humans

PCB developmental neurotoxicity mediated primarily by non-dioxin-like PCB congeners

Non-dioxin-like congeners



Dioxin-like congeners



evelopmental eurotoxicity	+++	+/-
arcinogenic	+/-	+++
wilhydrogenhan	I are to no offinity	Uich offinity

Arylhydrocarbon **Receptor** (AhR)

Low to no animity

High aminity

Cell and molecular mechanism(s) of PCB developmental neurotoxicity unknown

- Decreased dopamine content
- Interference with thyroid hormone signaling
- Increased levels of intracellular calcium Ca²⁺
 - Sensitization of the ryanodine receptor (RyR)



Does developmental exposure to non-dioxinlike PCBs alter dendritic growth?



- Dendritic branching patterns influence the number, types and distribution of synaptic inputs
- Structural plasticity of dendrites is thought to be the cellular substrate of learning and memory
- Altered patterns of dendritic growth and plasticity are associated with ASD and other neurodevelopmental disorders

Hypothesis:

Developmental exposures to non-dioxin-like PCBs cause behavioral deficits via altered pattern of dendritic growth and plasticity

Experimental Design of *In Vivo* Studies Using Aroclor 1254



Environmental risk factors for ASD

- Rubella infection during the first trimester of pregnancy
- In utero exposure to thalidomide or valproic acid
- Paternal age
- Environmental chemicals (?)

However, efforts to identify specific environmental risk factors for ASD have produced a number of candidates but few definitive hits

- Heavy metals (lead, methylmercury)
- Pesticides
 - Organophosphorus pesticides (OPs), e.g., chlorpyrifos, diazinon
 - Organochlorine pesticides (OCs), e.g., DDT, dieldrin, lindane
- Persistent organic pollutants (POPs)
 - Polychlorinated biphenyls (PCBs)
 - Polybrominated diphenyl ethers (PBDEs)
 - Polycyclic aromatic hydrocarbons (PAHs)

Morris Water Maze

Spatial Test:

- 1. subject is placed on the platform for 20 sec
- 2. subject is placed in start quadrant
- 3. subject is allowed to swim for 45 sec or until the platform is found
- 4. subject is placed on the platform for 20 sec before being removed from pool



Average time to find the platform (escape latency) provides a measure of learning over successive trials

Morris Water Maze

- In early trials subjects tend to swim around perimeter
- After several trials animals use searching behavior
- Once task is learned, subjects swim directly to platform



Developmental Aroclor 1254 exposure causes deficits in spatial learning



Morris Water Maze

Probe Test

- 1. platform removed from pool
- 2. subject placed in a start quadrant
- 3. subject allowed to swim for 45 sec
- 4. time spent in platform quadrant is used as a measure of learning/memory

Cue Test (motivation/vision/motor function)

- 1. platform moved and marked with a flag
- 2. subject placed in a start quadrant
- 3. subject allowed to swim for 45 sec
- 4. time to platform gives measure of motor function, motivation and visual function





Developmental Aroclor 1254 exposure causes deficits in spatial memory



Developmental Aroclor 1254 exposure alters dendritic growth in cerebellar Purkinje cells



Developmental Aroclor 1254 exposure alters dendritic growth in cortical pyramidal neurons



Developmental PCB exposure alters RyR expression (data collected by Kyungho Kim, Pessah lab)



Conclusions from this study:

- Developmental PCB exposure enhanced basal dendritic growth but decreased experience-dependent dendritic plasticity
- Effects of PCBs on dendritic arborization correlated with altered RyR expression

Hypothesis:

Non-coplanar PCBs disrupt neuronal connectivity via RyR-mediated mechanisms that modulate Ca²⁺dependent signaling pathways linked to activitydependent dendritic growth and plasticity.

PCB 95 alters dendritic growth in primary cultures of hippocampal neurons



SAR and pharmacological RyR blockade suggest dendrite-promoting activity of PCBs is RyR-dependent


RyR activity required for PCB effects on dendrites



Experimental approaches for investigating Ca²⁺-dependent signaling pathways in PCBinduced dendritic growth



PCB 95 increases Ca²⁺ in primary cultured hippocampal neurons (data collected by Diptiman Bose, Pessah lab)



Experimental approaches for investigating Ca²⁺-dependent signaling pathways in PCBinduced dendritic growth



PCB-induced dendritic growth requires CREB activation



Experimental approaches for investigating Ca²⁺-dependent signaling pathways in PCBinduced dendritic growth



PCB-induced dendritic growth requires Wnt signaling



Non-dioxin-like PCBs hijack the signaling pathway that controls normal activitydependent dendritic growth



Exposure of rat pups to PCBs in the maternal diet throughout gestation and lactation interferes with normal patterns of dendritic growth in the hippocampus of weanling rats



Are these findings relevant to ASD?

- Increased dendritic arborization and altered plasticity are associated with ASD
- Experimental evidence suggests that developmental PCB exposure causes effects that mimic some aspects of ASD
 - Developmental exposure to PCB 95 causes an imbalance between excitation and inhibition in the auditory cortex of weanling rats (Kenet et al., 2007)
 - Perinatal exposure to a mixture of PCB 47 and 77 alters social behaviors in rats (Joulous-Jamshidi et al. 2010)

Effects of activity on dendritic growth are mediated primarily by Ca²⁺-dependent signaling

A significant number of the candidate genes for autism encode proteins whose primary role is to generate intracellular calcium signals or are themselves tightly regulated by local fluctuations in calcium levels



Krey and Dolmetsch (2007) Current Opinion in Neurobiology 17:112–119

How might non-dioxin-like PCBs influence ASD susceptibility?

environmental exposuresXgenetic susceptibilityXnon-dioxin-like PCBsheritable defects in

genetic susceptibility X timing heritable defects in Ca²⁺ signaling

risk, severity and treatment outcome

One fundamental way by which heritable genetic vulnerabilities could amplify adverse effects triggered by environmental exposures is if both factors (genes and environment) converge to dysregulate the same signaling system at critical times of development.

PCBs may also influence neurodevelopment via effects on the immune system



PCB 95 exposure decreases baseline immune activity

T cell growth factor



T cell cytokine



Chemokine



PCB 95 exposure increases innate immune activity



Do PCB effects on immune activity contribute to PCB effects on neurodevelopment?

Relevance to ASD?

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FRAGILE X SYNDROME TARGETED TREATMENTS AND AUTISM



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University of California at Davis Medical Center Genes and Autism. 06/01/13 POLUTION, ENVIRONMENTAL TOXICITY

EVIRONMENTAL CHANGES

MICRO ENVIRONMENT

MMUNE SYSTEM

GENES

SOCIAL INTERACTION



A new paradigm for psychopharm drug development in fragile X syndrome



<u>A NEW AGE</u> TARGETED TREATMENTS

- Advances in the last 3 years or so have ushered in a new age of targeted treatments to reverse the neurobiological abnormalities for neurodevelopmental disorders
- Fragile X syndrome: mGluR5 antagonists, GABA agonists, minocycline, Arbaclofen
- Autism has similarities in GABA and glutamate imbalances, common pathways ie mTOR, miRNA dysregulation, mitochondrial abnormalities, oxidative stress, synaptic plasticity deficits and environmental toxicity

Targeted treatment research in other conditions

Condition	Animal model / Drug target	Drug / Effect in animal	Human trial
NF	Nf1 mouse / increased RAS/ERK signaling	Statins / improved attention and spatial cognition	Possible improvement in spatial skills
Rett syndrome	Mecp2 mouse / reduced BDNF signaling	IGF-1 fragment / rescue of lethality, neuropathology, autonomic abnormalities	Recruiting
Down syndrome	Ts65Dn mouse / excessive inhibitory neurotransmission	GABA-A negative modulators / improved cognition	Recruiting
Tuberous sclerosis (TSC2)	TSC2 mouse / elevated mTOR signaling	Rapamycin / improved spatial learning & contextual discrimination	Recruiting

FRAGILE X AS A MODEL OF AUTISM

- Many children with FXS have autism (30%) or PDDNOS (30%) and many children with autism (2-6%) have fragile X
- Both disorders have big heads and rapid brain growth early in childhood
- FXS has problems with hyperarousal and anxiety so it models this subtype of autism
- Both disorders have problems with facial processing ie avoiding looking at the eyes which overactivates the amygdala
- Those with FXS and autism have lower IQ than FXS alone.
- FMRP regulates the translation of many genes associated with autism- latest estimate 30 % to almost 50% of autism genes (Darnell 2011 Cell; Iossifov et al 2012 Neuron)

Communication and Social Deficits are continuous in boys with FXS: 30% with autism

and another 30% with PDDNOS but significant heterogeneity ADOS Module 1 in the FXS-autism phenotype



Subjects: lowest comm-soc to highest comm-soc

Harris et al 2008 AJIDD



Garcia-Nonell et al 2007

FMRP HAS MANY FUNCTIONS AND ITS ABSENCE CAUSES DYSREGULATION OF SEVERAL SYSTEMS KNOWN TO BE ASSOCIATED WITH AUTISM

- Transporter of mRNAs to the synapse
- Controls (usually suppression of) translation of many mRNAs related to synaptic plasticity
- Absence of FMRP causes increased protein production throughout the brain
- Up regulation of mGluR5 pathways leading to LTD
- Down regulation of GABA_A receptors
- Dysregulation of dopamine pathways
- Enhanced APP production
- Increased oxidative stress damage to neurons

LOWERED BRAIN FMRP LEVELS IN PSYCHIATRIC DISORDERS



Fatemi et al schizophrenia research 2010

Proteins Controlled by FMRP (Darnell et al 2011 Cell)



Regulation of mTOR Signaling





Fragile site

Fragile X Syndrome

- 1 in 3,600
- Leading inherited of ID leading
- Single gene associated with autism
- 2-6% with autism have FXS
- Anxiety disorders, mood instability. ..



FRAGILE X SYNDROME AND THE EXPRESSION OF THE FMR1 GENE

FXS is the most common form of intellectual disabilities and the leading known heritable form of autism

... is caused by a large CGG-repeat expansion in a non-coding portion of the
FMR1 geneTypicalPremutationFull



Expression of the FMR1 gene



The FMR1 Gene



Total length = 68 repeats

AGG interruptions are normally present in normal CGG repeats. 0, 1, 2, or 3 interruptions are common.

They typically occur around 9-10 CGG repeats.

(Eichler et al. 1994; 1996)




FRAGILE X SYNDROME AND RELATED DISORDERS



PREMUTATION INVOLVEMENT ACROSS THE LIFESPAN



Spectrum of Premutation Involvement

Environmental

effects

Cellular dysregulation Background gene effects

Up-regulation of **heatshock** proteins

Kinase activation

FMR1 CGG-repeat Sequestration of toxic RNA "trigger" **DROSHA/DGCR8**

miRNA dysregulation

Mitochondrial dysfunction

Inclusion formation

Neuropathology

Neurodevelopmental problems Social anxiety \rightarrow ASD ADHD; Cognitive deficits Seizures **Psychiatric involvement** Anxiety Stress Depression Endocrine dysfunction **FXPOI** Immune dysregulation Hypothyroidism Fibromyalgia; chronic fatigue Lupus- MS Neurological problems Neuropathy Migraine Memory problems, foggy thinking Hypertension

FXTAS

tremor, ataxia, Parkinsonism autonomic dysfunction, EF deficits, memory and cognitive decline Boys with the premutation are at high risk for ADHD and autism or ASD: A developmental form of RNA toxicity?

- ADHD (CGI \geq 15 and DSM-IV)
 - 93% (13/14) of probands
 - 38% (6/13) of nonprobands
 - 13% (2/16) of controls
- ASD (DSM-IV and ADOS/ADI)
 - 73% (11/14) of probands*
 - 29% (4/14) Full autism
 - 50% (7/14) PDDNOS
 - 8% (1/13) of nonprobands
 - 8% (1/13) Full autism
 - None of controls

Farzin et al, 2006 J Dev Beh Pediatrics



Two brothers with the *FMR1* premutation ages 6 and 7. Boy on right presented as proband with autism and ADHD and his brother has anxiety and ADHD.

A NEW COHORT OF PREMUTATION BOYS COMPARED TO CONTROLS



Abnormal synaptic plasticity in FMR1 KO mice





mGluR mediated signaling is directly coupled to the regulation of translation initiation in neurons.

The Role of FMRP: binds and transports mRNAs And regulates translation usually through inhibition



Dramatic Up-regulation of Proteins in the CNS without FMRP



TRENDS in Neurosciences



A therapeutic approach for FXS





Seaside Therapeutics

MMP-9 synthesis, release and activation



Minocycline Mechanisms for Neuroprotection



mGluR5 antagonists for FXS

- Fenobam : improvement in PPI and behavior in single dose with 12 adults with FXS at MIND and Rush (Berry-Kravis et al 2009 JMG)
- Roche mGluR5 antagonist RO4917523 currently in controlled trials at multiple centers including MIND (16yo and older with FXS). Initiated 5-12 childhood PK 3 mo studies
- Novartis AFQ056 European trial (Jacquemont et al 2011 Sci Trans Med). Current controlled trials and open label in 12 through adultshood. To initiate childhood PK studies.
- STX 107 an mGluR5 antagonist licensed by Seaside Therapeutics.

Study Measures

- Baseline:
 - Cognitive Assessment: Stanford Binet V, WISC IV, Leiter-R or Mullen Scales of Early Learning
 - Autism Assessment: Autism Diagnostic Observation Schedule (ADOS), DSMIV Criteria for Autism Checklist
- Primary Outcome Measures
 - Clinical Global Impression Scale-Improvement (CGI-I)
 - Visual Analogue Scale for Severity of Behavior (VAS)
- Secondary Outcome Measures
 - Vineland Adaptive Behavior Scale-II (VABS-II)
 - Aberrant Behavior Checklist- Community Edition (ABC-C)
 - Expressive Vocabulary Test-II (EVT-II)



ONLY THE FULL MUTATIONS FULLY METHYLATED RESPONDED TO AFQ056 JACQUEMONT ET AL 2011 SCI TRANS MED



R-BACLOFEN= ARBACLOFEN: STX209

• Baclofen is racemic



- Both isomers are selective GABA-B agonists
 - GABA-B: **R:S** potency ratio 15:1
 - *in vivo:* **R:S** potency ratio **10-100:1**
- R-Baclofen kinetics comparable when given alone or as part of racemic mixture (with S-baclofen)
- R-Baclofen is more potent in blocking presynaptic release of glutamate and therefore may be helpful in FXS and perhaps in autism



- Double-blind, randomized, placebo-controlled, 2-period crossover
- Endpoints
 - Global: CGI-I; CGI-S; blinded treatment preference
 - Focused: <u>Aberrant Behavior Checklist Irritability</u> (ABC-I) scale; ABC-Total & other subscales; Vineland Adaptive Behavior Scale; Visual Analog Scale of top 3 problem behaviors; other
- Down titration after completion of 4 week period
- Ages 6 to 40 years and maximum dose was 10 mg tid
- Published now Berry-Kravis et al 2012 Science Translational Medicine

CGI-I (IMPROVEMENT) RESULTS IN ARBACLOFEN (STX209) TRIAL



Berry-Kravis et al 2012

ARBACLOFEN FOR ASD



- Autistic Disorder or PDD-NOS
- ABC-Irritability ≥ 16 at baseline
- n = 32; age 6 17 years
- Concomitant meds: ≤ 2 psychoactives; no antipsychotics
- Treatment period: 8 weeks

ARBACLOFEN OPEN LABEL EFFICACY IN ASD

	Baseline	Week 8	p-value
	(mean ± SD)	(mean ± SD)	
ABC-Irritability	27.0 ± 7.6	17.7 ± 10.4	< 0.001
ABC-Social Withdrawal	17.3 ± 8.2	12.6 ± 9.3	= 0.001
ABC-Total	90.3 ± 29.4	64.0 ± 35.0	< 0.001
CGI-I	-	2.5 ± 0.9	< 0.05
CGI-S	5.1 ± 0.9	4.4 ± 1.2	< 0.001
ADHD-IV Rating Scale	34.2 ± 11.4	26.1 ± 13.0	< 0.001
CY-BOCS	14.8 ± 4.1	11.6 ± 5.0	< 0.001
CASI-Anxiety	20.4 ± 10.6	16.5 ± 13.8	< 0.001
Social Responsiveness	117.0 ± 33.8	103.0 ± 29.6	< 0.05
Vineland-Communication	61.4 ± 10.5	65.4 ± 9.5	< 0.01

MINOCYCLINE STUDIES IN FXS OR AUTISM

- Bilousova et al 2009 demonstrated that minocycline lowers MMP9 levels in FXS and improved behavior and cognition in the FX mouse
- Agustini Utari MD surveyed 50 families whose child was Tx with minocycline for >2wks and found 70% positive response especially in language and limited side effects (Utari et al 2010 AJIDD).
- Positive open trial in FXS in Toronto with age ≥ 13 years (Paribello et al 2010)



MINOCYCLINE HYDROCHLORIDE

- Semisynthetic tetracycline derivative
- Commonly used in treatment of acne vulgaris
- Found to have neuroprotective effects
- Investigated in Huntington's Disease, ALS multiple sclerosis



STUDY DESIGN

- Randomized
- Double blind Placebo controlled trial 3.5-16y
- Crossover : 3 months for each arm
- Voluntary recruitment from UC Davis MIND
 Institute Fragile X
 Research and Treatment
 Center 66 entered 48
 completed
 www.clinicaltrials.gov

Minocycline Dosing

Weight	Minocycline Dailv Dose
<25kg	25mg
25-45kg	50mg
>45kg	100mg

CONTROLLED TRIAL OF MINOCYCLINE



Study Period: January 2010-December 2011

CONTROLLED CROSS-OVER DOUBLE-BLIND TRIAL OF MINOCYCLINE, SIGNIFICANT IMPROVEMENT ON CGI



RESULTS: VISUAL ANALOGUE SCALE

	Minocycline		Placebo		P Value
VAS Behavior Category	Mean	SE	Mean	SE	
Aggression/ADHD (n=46)	4.47	0.35	4.25	0.32	0.5355
Anxiety/Mood (n=26)	5.26	0.46	4.05	0.46	0.0488
Language/Cognition (n=38)	4.99	0.36	4.70	0.34	0.5345
Other (n=11)	6.02	0.58	3.45	0.34	0.0175

Significant change in VAS for Anxiety/Mood and for "other" category including diverse problems such as toilet training and social interactions

No influence of FSIQ & ADOS total score on response to minocycline

ADVERSE EVENTS

78% of participants reported AE; 49% on minocycline, 51% on placebo

	Minocycline		Placebo	
Category	Count	%	Count	%
Diarrhea/Loose Stools	15	21.13	15	20.55
GI Upset/Vomiting/Loss of Appetite	9	12.68	15	20.55
Dizziness/Unsteadiness	0	0	1	1.37
Headaches	4	5.63	5	6.85
Drowsiness	2	2.82	3	4.11
Skin Rash/Itching/Swelling	12	16.9	7	9.59
Fever/chills/URI symptoms/Sore Throat	6	8.45	11	15.07
Blue-grey/grey hue to teeth or other tissues	3	4.23	1	1.37
Dark colored urine/changes in urination	1	1.41	2	2.74
Sunburn/sun sensitivity	4	5.63	1	1.37
Other	15	21.13	12	16.44

No difference in AEs on minocycline vs placebo p=0.551



Sanchez 2004



Severe involvement from FXS Autistic , non verbal, aggressive, would not tolerate clothes could not go outside

After 2 years on minocycline



He can talk and dress He drinks from a cup He walks with his social worker Aggression is gone He can come to clinic Looks at magazines and TV

ONLY 2 INDIVIDUALS HAD MMP9 LEVELS DONE IN EACH PHASE OF STUDY AND BOTH WERE RESPONDERS TO MINOCYCLINE



Tassone et al unpublished

MINOCYCLINE IN ANGELMAN SYNDROME

- Ed Weeber carried out trials of minocycline in AS mouse model with positive effects and is carrying out a controlled trial of minocycline in AS children and has preliminary positive results
- Clinical use of minocycline in AS at the MIND has shown improvements in language and motor abilities in 5 children with AS.

GABA_A RECEPTOR EXPRESSION IS DOWN IN FXS

- GABA_A expression is down regulated in the KO mouse (D'Hulst et al 2007; Kooy et al 2005)
- GABA_A agonists: Ganaxolone
 - Investigational medication with efficacy in infantile spasms and other types of epilepsy: A controlled trial in children with FXS (6 -18y) funded by DOD is in progress at the MIND Institute; Marinus to supply ganaxolone
 - Targeting improvement in anxiety, behavior and seizure frequency

GANAXOLONE TREATMENT TIMELINE DOUBLE-BLIND CROSSOVER CONTROLLED TRIAL



In autism serotonin synthesis is reduced frontally. This may be true for FXS since clinically they respond to early sertraline Tx



Chugani et al., 1999

SERTRALINE TREATMENT IN EARLY CHILDHOOD IN FXS

A RETROSPECTIVE STUDY OF 45 CHILDREN FOLLOWED 12 TO 50 MONTHS AND 11 TREATED WITH SERTRALINE: SIGNIFICANT DIFFERENCES IN EXPRESSIVE AND RECEPTIVE LANGUAGE IN TX VS NON TREATED (P=0.0001 AND P=0.0071 RESPECTIVELY)



Winarni et al 2012 Autism Treatment and Research
LOVASTATIN AND LANGUAGE INTERVENTION



 Relationship between MIF, MMP-9, Ca2+ signaling, and the MEK/ERK pathway in inflammation. POLUTION, ENVIRONMENTAL TOXICITY

EVIRONMENTAL CHANGES

MICRO ENVIRONMENT

MMUNE SYSTEM

GENES

SOCIAL INTERACTIONS

LOVASTATIN AND LANGUAGE INTERVENTION



 Relationship between MIF, MMP-9, Ca2+ signaling, and the MEK/ERK pathway in inflammation.

TARGETED TREATMENTS MUST BE COMBINED WITH INNOVATIVE EDUCATIONAL PROGRAMS

- If synaptic connections are improved with targeted treatment we must enhance these connections with educational interventions
- Combine treatment trials with educational interventions, computer programs such as CogMed, AT devices, iPAD apps.





iPAD apps





Co-Writer and write out loud

FX tracking game

CHAT Alt CHAT 40

WHY RESEARCH ABOUT NEW TECHNOLOGIES IN DEVELOPMENTAL DISORDERS?



APPSiPADAATTABLETFAMILYSCHOOLTHERAPY



There **is little empirical research** on the key factors that promote or hinder:

- language improvement
- social communication progress and
- learning acquisition

in children with ASD and FXS or both, by using an iPad[®]-centered intervention approach.

PI: María Díez-Juan, M.A. Clinical Psychologist. ARTP Research Scholar. MIND Institute. UC Davis



MIND APPs Objective

We aim to demonstrate the efficacy of the iPad[®]-centered intervention on *social* communication, language development and academic gains in children with FXS & ASD.

Methods

- iPad-centered intervention by collecting data on young children (2 to 5 years) and children (6 to 12 years) with ASD and/or FXS - 6 weeks.
- randomized clinical trial (RTC)
- 30 enrolled subjects
- Crossover



We will use application as a platform to coordinate the whole plan and guidelines. Also to track data through parents' reports.

HOW WILL CLINICAL PRACTICE CHANGE

- All individuals would be treated need clinic resources to accommodate management, patient education and monitoring FXCRC
- Early diagnosis and treatment imperative newborn screening
- Dosing may be tricky, combinations with different pathway targets may work best need practitioners with FXS experience to assess response
- Likely stepwise improvement in treatments need ongoing clinical trials network (FXCRC) to keep building best treatment protocols (like cancer tx model)

M.I.N.D. Institute Clinical Trials

Yingratana McLennan

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